

GEORGIA THORACIC & CARDIOVASCULAR SURGICAL ASSOCIATES

NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW PROTECTED HEALTH INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY

Effective Date: April 15, 2003

If you have any questions about this notice, please contact Georgia Thoracic & Cardiovascular (GTCV) Surgical Associates Privacy Officer, 960 Johnson Ferry Rd, Suite 518, Atlanta, GA 30022.

WHO WILL FOLLOW THIS NOTICE

This notice describes our company's practices and those of:

- ☐ Any healthcare professional authorized to enter information into your GTCV SURGICAL ASSOCIATES medical record.
- ☐ Any member of a volunteer group we allow to help you while you are in the office.
- ☐ All employees, staff and other GTCV SURGICAL ASSOCIATES personnel.
- ☐ All these persons, entities, sites, and locations follow the terms of this notice. In addition, these persons, entities, sites, and locations may share medical information with each other for treatment, payment, or GTCV SURGICAL ASSOCIATES operations purposes as described in this notice.

OUR PLEDGE REGARDING MEDICAL INFORMATION

We understand that medical information about you and your health is personal. We are committed to protecting medical information about you. We create a record of the care and services you receive by GTCV SURGICAL ASSOCIATES. We need this record to provide you with quality care and to comply with certain legal requirements. This notice applies to all of the records of your care generated by GTCV Surgical Associates, whether made by GTCV SURGICAL ASSOCIATES personnel or obtained from other Doctors who may have treated you.

This notice will tell you about the ways in which we may use and disclose medical information about you. We also describe your rights and certain obligations we have regarding the use and disclosure of medical information.

We are required by law to:

- ☐ Make sure that medical information that identifies you is kept private;
- ☐ Give you this notice of our legal duties and privacy practices with respect to medical information about you; and
- ☐ Follow the terms of the notice that is currently in effect.

HOW WE MAY USE AND DISCLOSE MEDICAL INFORMATION ABOUT YOU

The following categories describe different ways that we use and disclose medical information. For each category of uses or disclosures we will explain what we mean and try to give some examples. Not every use or disclosure in a category will be listed. However, all of the ways we are permitted to use and disclose information will fall within one of these categories.

For Treatment. We may use medical information about you to provide you with medical treatment or services. We may disclose medical information about you to doctors, nurses, technicians, medical students, or other GTCV SURGICAL ASSOCIATES personnel who are involved in taking care of you following your surgery or treatment at GTCV SURGICAL ASSOCIATES or any of the hospitals which we practice in. For example, a doctor treating you for a ruptured appendix may need to know if you have diabetes because diabetes may slow the healing process. We also may disclose medical information about you to people outside the GTCV SURGICAL ASSOCIATES who may be involved in your medical care after you leave the hospital or are discharged from the care of GTCV SURGICAL ASSOCIATES, such as family members, clergy, or others we use to provide services that are part of your care, such as therapists or physicians.

For Payment. We may use and disclose medical information about you so that the treatment and services you receive by GTCV SURGICAL ASSOCIATES may be billed to and payment may be collected from you, an insurance company, or a third party. For example, we may need to give your health plan information about treatment you received by GTCV SURGICAL ASSOCIATES so your health plan will pay us or reimburse you for the treatment. We may also tell your health plan about a treatment you are going to receive to obtain prior approval or to determine whether your plan will cover the treatment. We also may disclose information about you to another health care provider, such as another physician participating in your care, for their payment activities concerning you.

For Healthcare Operations. We may use and disclose medical information about you for GTCV SURGICAL ASSOCIATES operations. These uses and disclosures are necessary to run GTCV SURGICAL ASSOCIATES and make sure that all of our patients receive quality care. For example, we may use medical information to review our treatment and services and to evaluate the performance of our staff in caring for you. We may also combine medical information about many of GTCV SURGICAL ASSOCIATES patients to decide what additional services that GTCV SURGICAL ASSOCIATES should offer, what services are not needed, and whether certain new treatments are effective. We may also disclose information to doctors, nurses, technicians, medical students, and other GTCV SURGICAL ASSOCIATES personnel for review and learning purposes. We may also combine the medical information we have with medical information from other physicians to compare how we are doing and see where we can make improvements in the care and services we offer. We may remove information that identifies you from this set of medical

information so others may use it to study health care and healthcare delivery without learning the identities of specific patients.

Treatment Alternatives. We may use and disclose medical information to tell you about or recommend different ways to treat you.

Health-Related Benefits and Services. We may use and disclose medical information to tell you about health-related benefits or services that may be of interest to you.

Individuals Involved in Your Care or Payment for Your Care. We may release medical information about you to a friend or family member who is involved in your medical care. This would include persons named in any durable health care power of attorney or similar document provided to us. We may also give information to someone who helps pay for your care. In addition, we may disclose medical information about you to an entity assisting in a disaster relief effort so that your family can be notified about your condition, status, and location. You can object to these releases by telling us that you do not wish any or all individuals involved in your care to receive this information. If you are not present or cannot agree or object, we will use our professional judgment to decide whether it is in your best interest to release relevant information to someone who is involved in your care or to an entity assisting in a disaster relief effort.

Research. Under certain circumstances, we may use and disclose medical information about you for research purposes. For example, a research project may involve comparing the health and recovery of all patients who received one medication to those who received another for the same condition. All research projects, however, are subject to a special approval process. This process evaluates a proposed research project and its use of medical information, trying to balance the research needs with patients' need for privacy of their medical information. Before we use or disclose medical information for research, the project will have been approved through this research approval process. We may, however, disclose medical information about you to people preparing to conduct a research project, for example, to help them look for patients with specific medical needs, so long as the medical information they review does not leave GTCV SURGICAL ASSOCIATES. We will almost always ask for your specific permission if the researcher will have access to your name, address, or other information that reveals who you are, or will be involved in your care at the GTCV SURGICAL ASSOCIATES.

As Required By Law. We will disclose medical information about you when required to do so by federal, state, or local law.

To Avert a Serious Threat to Health or Safety. We may use and disclose medical information about you when necessary to prevent a serious threat to your health and safety or the health and safety of the public or another person. Any disclosure, however, would only be to someone able to help prevent the threat.

To Your Referring Physician(s). We will disclose medical information regarding treatment rendered to you by GTCV SURGICAL ASSOCIATES to the referring

physician(s) we have on file for you. We may disclose this information by the following means; facsimile, by US mail, or phone.

SPECIAL SITUATIONS

Organ and Tissue Donation. If you are an organ donor, we may release medical information to organizations that handle organ procurement or organ, eye, or tissue transplantation, or to an organ donation bank as necessary to facilitate organ or tissue donation and transplantation.

Military and Veterans. If you are a member of the armed forces, we may release medical information about you as required by military command authorities. We may also release medical information about foreign military personnel to the appropriate foreign military authority. We may use and disclose to components of the Department of Veterans Affairs medical information about you to determine whether you are eligible for certain benefits.

Workers' Compensation. We may release medical information about you for Workers' Compensation or similar programs. These programs provide benefits for work-related injuries or illness.

Public Health Risks. We may disclose medical information about you for public health activities. These activities generally include the following:

- ☐ To prevent or control disease, injury, or disability;
- ☐ To report deaths;
- ☐ To report reactions to medications or problems with products; to notify people of recalls of products they may be using;
- ☐ To notify a person who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition; and
- ☐ To notify the appropriate government authority if we believe a patient has been the victim of abuse, neglect, or domestic violence. We will only make this disclosure if you agree or when required or authorized by law.

Health Oversight Activities. We may disclose medical information to a health oversight agency for activities authorized by law. These oversight activities include, for example, audits, investigations, inspections, and licensure. These activities are necessary for the government to monitor the healthcare system, government programs, and compliance with civil rights laws.

Lawsuits and Disputes. If you are involved in a lawsuit or a dispute, we may disclose medical information about you in response to a court or administrative order. We may also disclose medical information about you in response to a subpoena, discovery request, or other lawful process by someone else involved in the dispute, but only if efforts have been made to tell you about the request or to obtain an order protecting the information requested.

Law Enforcement. We may release medical information if asked to do so by a law enforcement official:

- ☐ In response to a court order, subpoena, warrant, summons, or similar process;
- ☐ To identify or locate a suspect, fugitive, material witness, or missing person;

- ☐ About the victim of a crime if, under certain limited circumstances, we are unable to obtain the person's agreement;
- ☐ About a death we believe may be the result of criminal conduct;
- ☐ About criminal conduct at GTCV SURGICAL ASSOCIATES; and
- ☐ In emergency circumstances to report a crime; the location of the crime or victims; or the identity, description, or location of the person who committed the crime.

Coroners, Medical Examiners, and Funeral Directors. We may release medical information to a coroner or medical examiner. This may be necessary, for example, to identify a deceased person or determine the cause of death. We may also release medical information about deceased patients of GTCV SURGICAL ASSOCIATES to funeral directors as necessary to carry out their duties upon the request of the patient's family.

National Security and Intelligence Activities. We may release medical information about you to authorized federal officials for intelligence, counterintelligence, and other national security activities authorized by law.

Protective Services for the President and Others. We may disclose medical information about you to authorized federal officials so they may provide protection to the President, other authorized persons, or foreign heads of state, or to conduct special investigations.

Inmates. If you are an inmate of a correctional institution or under the custody of a law enforcement official, we may release medical information about you to the correctional institution or law enforcement official. This release would be necessary (1) for the institution to provide you with health care; (2) to protect your health and safety or the health and safety of others; (3) for the safety and security of the correctional institution; or (4) to obtain payment for services provided to you.

YOUR RIGHTS REGARDING MEDICAL INFORMATION ABOUT YOU

You have the following rights regarding medical information we maintain about you:

Right to Inspect and Copy. You have the right to inspect and copy medical information that may be used to make decisions about your care. Usually, this includes medical and billing records, but does not include psychotherapy notes and other mental health records under certain circumstances. To inspect and copy medical information that may be used to make decisions about you, you must submit your request in writing to the GTCV SURGICAL ASSOCIATES Privacy Officer. If you request a copy of the information, we may charge a fee for the costs of copying, mailing, or other supplies associated with your request. If you agree, we may provide you with a summary of the information instead of providing you with access to it, or with an explanation of the information instead of a copy. Before providing you with such a summary or explanation, we first will obtain your agreement to pay the fees, if any, for preparing the summary or explanation. We may deny your request to

inspect and copy your medical information in certain very limited circumstances, such as when your physician determines that for medical reasons this is not advisable. If you are denied access to medical information, you may request that the denial be reviewed. Another licensed healthcare professional chosen by the GTCV SURGICAL ASSOCIATES will review your request and the denial. The person conducting the review will not be the person who denied your request. We will comply with the outcome of the review.

Right to Amend. If you feel that medical information we have about you is incorrect or incomplete, you may ask us to amend the information. You have the right to request an amendment for as long as the information is kept by or for GTCV SURGICAL ASSOCIATES.

To request an amendment, your request must be made in writing and submitted to the GTCV SURGICAL ASSOCIATES Privacy Officer. In addition, you must provide a reason that supports your request. We may deny your request for an amendment if it is not in writing or does not include a reason to support the request. In addition, we may deny your request if you ask us to amend information that:

- ☐ Was not created by us, unless the person or entity that created the information is no longer available to make the amendment;
- ☐ Is not part of the medical information kept by or for GTCV SURGICAL ASSOCIATES;
- ☐ Is not part of the information which you would be permitted to inspect and copy; or
- ☐ Is accurate and complete.

Right to an Accounting of Disclosures. You have the right to request an "accounting of disclosures." This is a list of some of the disclosures we made of medical information about you that were not specifically authorized by you in advance. To request this list or accounting of disclosures, you must submit your request in writing to the GTCV SURGICAL ASSOCIATES Privacy Officer. Your request must state a time period that may not be longer than six years and may not include dates before April 15, 2003. Your request should indicate in what form you want the list (for example: on paper, electronically). The first list you request within a 12-month period will be free. For additional lists, we may charge you for the costs of providing the list. We will notify you of the cost involved, and you may choose to withdraw or modify your request at that time before any costs are incurred.

Right to Request Restrictions. You have the right to request a restriction or limitation on the medical information we use or disclose about you for treatment, payment, or healthcare operations.

You also have the right to request a limitation on the medical information we disclose about you to someone who is involved in your care or the payment for your care, like a family member or friend. We are not required to agree to your request. If we do agree, we will comply with your request unless the information is needed to provide you emergency treatment. To request restrictions, you must make your request in writing to the GTCV SURGICAL ASSOCIATES Privacy Officer. In your request, you must tell us (1) what information you want to limit; (2) whether you want to limit our use, disclosure, or both; and (3) to whom you want the limits to apply, for example, disclosures to your spouse.

Right to Confidential Communications. You have the right to request to receive communications from us on a confidential basis by using alternative means for receipt of information or by receiving the information at alternative locations. For example, you can ask that we only contact you at work or by mail, or at another mailing address, besides your home address. We must accommodate your request, if it is reasonable. You are not required to provide us with an explanation as to the reason for your request. Contact the Privacy Officer if you require such confidential communications.

Right to a Paper Copy of This Notice. You have the right to a paper copy of this notice. You may ask us to give you a copy of this notice at any time. Even if you have agreed to receive this notice electronically, you are still entitled to a paper copy of this notice.

To obtain a paper copy of this notice, request a copy from the GTCV SURGICAL ASSOCIATES Privacy Officer in writing.

CHANGES TO THIS NOTICE

We reserve the right to change this notice. We reserve the right to make the revised or changed notice effective for medical information we already have about you as well as any information we receive in the future. We will post a copy of the current notice in the waiting area of all the GTCV SURGICAL ASSOCIATES offices. The notice will contain on the first page, in the top right-hand corner, the effective date. In addition, each time you return for care to the offices of GTCV SURGICAL ASSOCIATES for treatment or healthcare services we will offer you a copy of the current notice in effect.]

COMPLAINTS

If you believe your privacy rights have been violated, you may file a complaint with GTCV SURGICAL ASSOCIATES or with the Secretary of the Department of Health and Human Services. To file a complaint with GTCV SURGICAL ASSOCIATES, contact Office Manager, 960 Johnson Ferry Rd, Suite 518, Atlanta, GA 30342. All complaints must be submitted in writing.

You will not be penalized for filing a complaint.

OTHER USES OF MEDICAL INFORMATION

Other uses and disclosures of medical information not covered by this notice or the laws that apply to us will be made only with your written permission. If you provide us permission to use or disclose medical information about you, you may revoke that permission, in writing, at any time. If you revoke your permission, we will no longer use or disclose medical information about you for the reasons covered by your written authorization. You understand that we are unable to take back any disclosures we have already made with your permission and that we are required to retain our records of the care that we provided to you.